

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

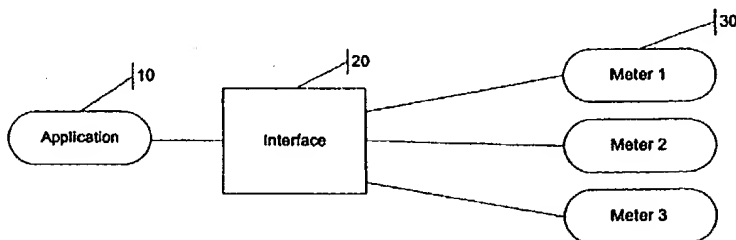
**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H02J 1/14</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/35063</b> <b>(43) International Publication Date:</b> 15 June 2000 (15.06.00)
<b>(21) International Application Number:</b> PCT/US99/28799 <b>(22) International Filing Date:</b> 7 December 1999 (07.12.99) <b>(30) Priority Data:</b> 60/111,488      7 December 1998 (07.12.98)      US <b>(71) Applicant:</b> ABB POWER T & D COMPANY INC. [US/US]; 1021 Main Campus Drive, Raleigh, NC 27606 (US). <b>(72) Inventors:</b> LAWRENCE, David, Crawford; 1318 Mayfair Road, Raleigh, NC 27608 (US). UDIN, Sergei; 2 Novopod- moskovny 6-151, Moscow, 125130 (RU). HODGES, Eu- gene, Wilson, IV; 6508 Lake Edge Drive, Holly Springs, NC 27540 (US). SUTTON, John, Chalmers, III; 116 Spring Hollow Lane, Cary, NC 27511 (US). BEROSET, Ed- ward, John; 602 Stonehill Road, Chapel Hill, NC 27516 (US). SCOGGINS, Sean, Michael; 4616 Lancashire Drive, Raleigh, NC 27613 (US). <b>(74) Agents:</b> NORRIS, Norman, L. et al.; Woodcock Washburn Kurtz Mackiewicz & Norris LLP, 46th floor, One Liberty Place, Philadelphia, PA 19103 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** ARCHITECTURE LAYER INTERFACING DEVICES AND APPLICATIONS**(57) Abstract**

An interface layer and method is provided that permits applications (10), without modification, to operate with any type of meter (30). The interface (20) comprises an abstraction layer and library or repository of descriptions specific to each meter type. The abstraction layer provides the capability to communicate with any meter (30) through a variety of applications (10). Upon receiving an application request, the abstraction layer retrieves the description for the particular meter type from the repository and processes the request. In this manner, only one data representation is needed for applications to communicate with a wide variety of meters (30).

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**ARCHITECTURE LAYER INTERFACING DEVICES AND APPLICATIONS**

5

10

15

**FIELD OF THE INVENTION**

The present invention relates in general to the field of electronic devices such as utility meters. More particularly, the present invention relates to systems and methods for  
20 interfacing between utility meters, such as electric, gas, or water meters, and applications that use or request information or data from utility meters.

**BACKGROUND OF THE INVENTION**

Many applications exist that use or request data or other information from  
25 electronic devices such as utility meters. These applications, typically software programs, perform such functions as retrieving energy usage data and computing billing information. Many different types and models of utility meters exist, and conventionally, an application has to be modified or a new application written to properly operate with its associated meter. This is

disadvantageous because each time a new model is added to a system, the application must be modified or implemented and tested, which is labor intensive and time consuming.

For example, a billing application contains code and data structures to capture the desired data. Many types of meters use similar data structures for billing data, although it is not  
5 universally standardized. Thus, for a first type of utility meter, such as an ABB ALPHA meter, the application's code and data structures are modified to operate properly with that meter, and for a second type of utility meter, such as an ANSI-compliant meter, the code and data structures are modified to operate properly with that meter. The two sets of code and data structures are not identical and cannot be used interchangeably or with other types of meters. Therefore, when  
10 another type of meter (e.g., a GE meter) is introduced into the system (or an existing meter has its firmware revised), the original code and data structures must be again modified in accordance with the meter with which it will be operating.

Thus, the overall system containing many types of meters is not fully integrated, as shown in the simplified diagram of Figure 1. In Figure 1, a first Application is specifically  
15 designed for Meter 1, and a second Application is specifically designed for Meter 2 (the network that exists between the application and the meter is not shown). Even though the two applications perform the same function (e.g., request billing data), they are separate and specific for the particular meter they are associated with. Therefore, if Meter 1 is a different type of meter than Meter 2, an application must be designed and implemented for each meter, even if the  
20 applications are to perform the same function. This leads to a large development and testing effort if the application is revised for each new type of meter, as well as the propagation of software bugs in similar applications for different meters. Otherwise, a new application must be developed for each new meter.

Put another way, presently, meter protocols provide data in a meter-centric format.  
25 This format must be translated before the data can be interpreted by non-protocol specific applications or other software.

It would be desirable to provide a translator / interface that overcomes the drawbacks of the prior art so that one data representation could be used with any meter, thus

allowing a single application to work with a variety of meters. In this way, a utility network can be more integrated and more easily updated with additional types of meters.

## SUMMARY OF THE INVENTION

5           The present invention is directed to an interface layer and method that permits applications, without modification, to operate with any type of meter. The interface comprises an abstraction layer and library or repository of descriptions specific to each meter type. The abstraction layer provides the capability to communicate with any meter through a variety of applications and via a number of communications media. Upon receiving an application request,  
10   the abstraction layer retrieves the description for the particular meter type from the repository and processes the request. In this manner, only one data representation is needed for applications to communicate with a wide variety of meters.

          An application and the abstraction layer may communicate by means of any standard data description language, such as XML, or by traditional programming languages.

15           According to one embodiment within the scope of the present invention, a compiler can be implemented to compile the meter descriptions in the repository to form a data dictionary. The descriptions may also include behavior description that provides post-processing data analysis and virtual meter features.

          According to another embodiment within the scope of the invention, a data  
20   manipulator can be provided that receives data from the abstraction layer, processes the data, and provides the processed data to the application.

          The foregoing and other aspects of the present invention will become apparent from the following detailed description of the invention when considered in conjunction with the accompanying drawings.

25

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a simplified schematic diagram of a typical prior art system;

Figure 2 is a simplified schematic diagram of an exemplary system in accordance with the present invention;

Figure 3 is a more detailed diagram of the system of Figure 2;

Figure 4 is a simplified schematic diagram of an interface integrated into an application in accordance with the present invention;

Figure 5 is a simplified schematic diagram of an interface integrated into a meter  
5 in accordance with the present invention;

Figure 6 is a simplified schematic diagram of an interface disposed partially at the client side and partially at the server side in accordance with the present invention;

Figure 7 is a flow chart of an exemplary method of interfacing and translating in accordance with the present invention;

10 Figure 8 is a schematic diagram of another exemplary system in accordance with the present invention; and

Figure 9 is a schematic diagram of another exemplary system in accordance with the present invention.

## 15 DESCRIPTION OF EXEMPLARY EMBODIMENTS AND BEST MODE

The present invention is directed to an interface layer and method that permits applications, without modification, to operate with any type of meter. The interface comprises an abstraction layer and library or repository of descriptions specific to each meter type. The abstraction layer provides the capability to communicate with any meter through a variety of  
20 applications and communications media. Upon receiving an application request, the abstraction layer retrieves the description for the particular meter type from the repository and processes the request. In this manner, only one data representation is needed for applications to communicate with a wide variety of meters.

Referring to Figure 2, there is illustrated a simplified schematic diagram of an  
25 exemplary system in which the present invention may be embodied. As illustrated, an application 10 is in communication with an interface 20 which is in turn in communication with at least one utility meter 30 (several different types of meters are shown in Fig. 2). Typical applications include a SCADA system that manipulates data, a distribution information system (DIS), a data dictionary (e.g., an Oracle database), an AMR server, and debugging tools.

The utility meter 30 could be any meter such as an ALPHA Power+ Meter or ALPHA Meter manufactured by ABB Automation Inc., Raleigh, North Carolina. Other meters may be used as the utility meter. It is noted that the ALPHA Meter or ALPHA Power+ Meter uses an ABB protocol as its native protocol. Other meters will typically use other protocols, such as an ANSI protocol or an IEC protocol. The interface 20 may be in communication with the meters 30 through any network connection such as a telephone line (POTS or similar), fiber optic, ethernet, a wireless network, etc.

The interface 20 acts as a translator for allowing the application 10 to communicate with any of the meters 30, regardless of the protocol needed to communicate with the meter 30. The interface 20 acts as a transmitter/receiver that receives requests from an application 10 residing on a system server or a host computer system at a central office, for example, issues the requests to a utility meter 30, and sends the translated data back to the application 10. The interface 20 acts as a bridge between the communications media used by the application 10 and that used by the utility meter 30. Communication using the meter protocol is implemented between the interface 20 and the meter 30.

A typical communications exchange over the network proceeds as follows. An application 10 sends a request to the interface 20. The interface 20 receives the request, and requests the desired data from the utility meter 30. The translated data is then transmitted back to the application 10 for further processing.

The interface 20 converts these requests to meter protocol requests. Those requests are passed to the meter 30 that then returns the desired data. A protocol is defined that is used to communicate between the interface 20 and the meter 30. The interface 20 passes data requests to the meter 30, receives the data from the meter 30, and returns the translated data to the application 10. The application 10 may interpret the data and/or load the data into a database for evaluation.

The interface 20 comprises an abstraction layer 23 and a meter description repository 26, as shown in the more detailed diagram of Figure 3. The abstraction layer 23 acts as a facade to the meters 30 and uses the description repository 26 for translating the requests from application 10 into the proper form for a particular meter 30. As described in further detail



below, when requested by an application, the abstraction layer 23 determines what type of meter 30 it will be polling, and retrieves the appropriate description from the repository 26. The interface also is responsible for the execution of the protocol commands through a transport layer such as serial port communication, modem communication, radio communication, or TCP/IP communication.

The repository 26 can be implemented in any language, such as XML (extensible markup language). The repository 26 can be modified at any time to include new meter type descriptions. Thus, the interface supports dynamic modification of meter types.

Typically, the meters 30 are disposed at the customer site, while the applications 10 reside at the utility site. It should be noted that the interface 20 can be standalone (as shown in Figure 3), can be integrated into the application 10 (as shown in Figure 4), can be integrated into each meter 30 (as shown in Figure 5), or can be disposed partially in the meter 30 (or at the server side as shown in Figure 6) and partially in the application 10 (or at the client side as shown in Figure 6) or partially standalone (e.g., the abstraction layer 23 is in the meter 30 and the repository 26 is in the application 10).

The interface 20 can also act as a virtual meter in that it does not have to be connected to a meter 30 in order to perform tests on that type of meter. Therefore, tests can be performed on any type of meter, regardless of whether the meter 30 is in communication with the interface 20.

A description for each meter type resides in the repository 26. The description tells how the meter works and includes rules, measurements, etc. The abstraction layer 23 contains the working code and uses the description for the appropriate meter type to know how to communicate with and translate data to and from the protocol used with the meter 30. Thus, when a new meter type is added to the system, a file containing a description for the new meter type is added to the repository 26. The code and data structures in the abstraction layer 23 are not modified, leading to a significant time and cost savings.

Figure 7 is a flow chart of an exemplary method of interfacing and translating in accordance with the present invention. At step 100, the application 10 constructs and issues a request ("get billing data for meter #3"). The request is generated in a standard data description

language such as XML. The request is transmitted to the abstraction layer 23 at step 110. The abstraction layer 23 translates the request from the standard data description language to the meter's native protocol and issues the request to the meter 30. More particularly, at step 120, the abstraction layer 23 looks up meter #3 in a storage device 40, such as a database or other memory, to determine the type of meter 30. At step 130, the abstraction layer 23 retrieves the appropriate meter type description from the repository 26, and at step 140, converts the request to the appropriate protocol. The request is sent to the meter 30 at step 150. The meter 30 (meter #3) services the request at step 160, and returns the data in its protocol to the abstraction layer 23 at step 170. The abstraction layer 23 translates the received data to the standard data description language, using the meter type description, at step 180. The abstraction layer 23 then sends this translated data to the application 10, at step 190, for display, storage, or further processing. In this manner, the application 10 only needs to produce and consume the standard data description language.

Fig. 8 is a schematic diagram of another exemplary system in accordance with the present invention. In this embodiment, a supplemental data processor 50 is disposed between the application 10 and the abstraction layer 23. In this manner, additional data manipulation and processing can be performed on the translated data received from the abstraction layer 23 (from the meter 30) prior to sending it back to the application 10. The supplemental processing description is stored in a storage device 55 coupled to the supplemental data processor 50.

Fig. 9 is a schematic diagram of another exemplary system in accordance with the present invention. In this embodiment, a compiler 60 is coupled to the repository 26. This allows a data dictionary 65, including optional behavior 67, to be created using the compiled meter type descriptions. The behavior 67 is post-processing behavior, such as data analysis and virtual meter features.

The present invention permits communication to and from a meter using, for example, the World Wide Web (HTTP), publish and subscribe technologies, e-mail (SMTP), or any other standard protocol.

It is contemplated that the application 10 can be any type of application, including a graphical user interface (e.g., Windows or X Window), a DOS based user interface, and a web

based user interface, such as those residing on a browser on the internet. In this manner, a requested feature (e.g., billing data) can be accessed over the internet.

The invention may be embodied in the form of appropriate computer software, or in the form of appropriate hardware or a combination of appropriate hardware and software without departing from the spirit and scope of the present invention. Further details regarding such hardware and/or software should be apparent to the relevant general public. Accordingly, further descriptions of such hardware and/or software herein are not believed to be necessary.

Although illustrated and described herein with reference to certain specific embodiments, the present invention is nevertheless not intended to be limited to the details shown. Rather, various modifications may be made in the details within the scope and range of equivalents of the claims and without departing from the invention.

What is claimed:

1. An interface layer (translator) for delivering information from devices and for programming the devices, not device specific, not device protocol specific, comprising:  
5 an abstraction layer; and  
a repository of meter descriptions.

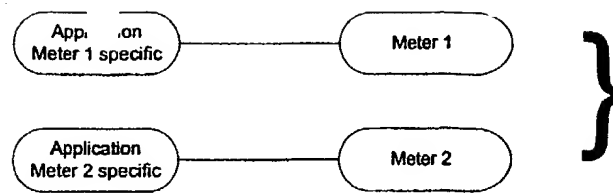


FIG. 1

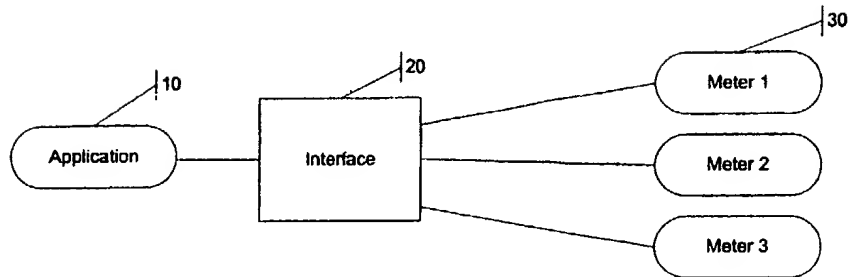


FIG. 2

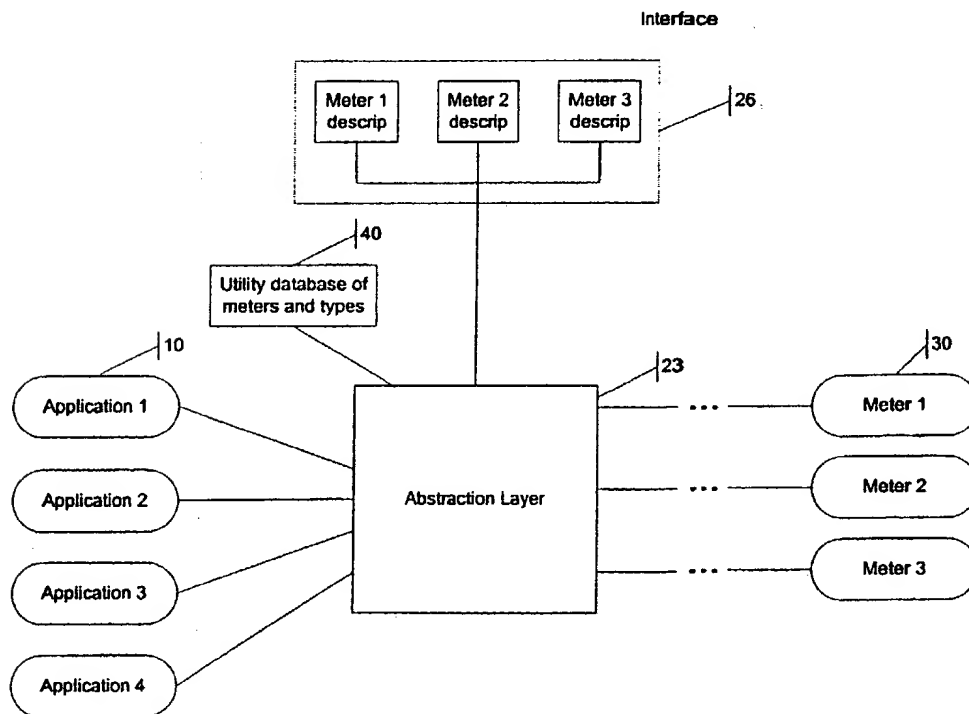


FIG. 3

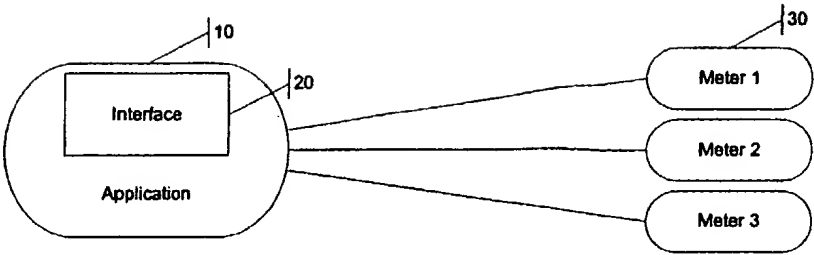


FIG. 4

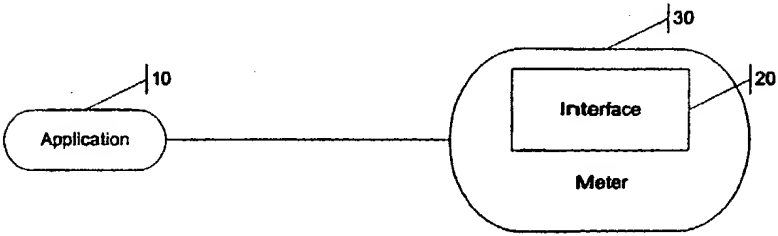


FIG. 5

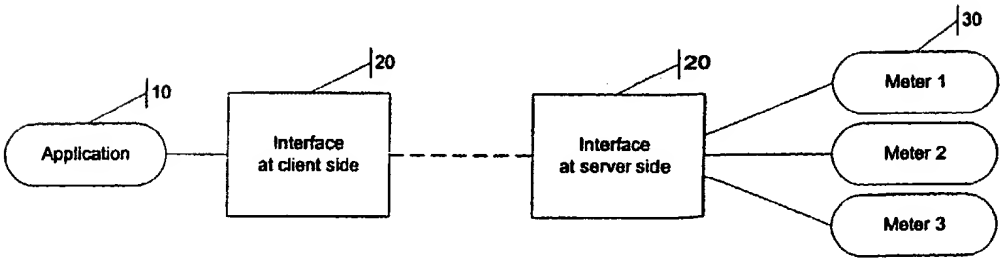


FIG. 6

3/4

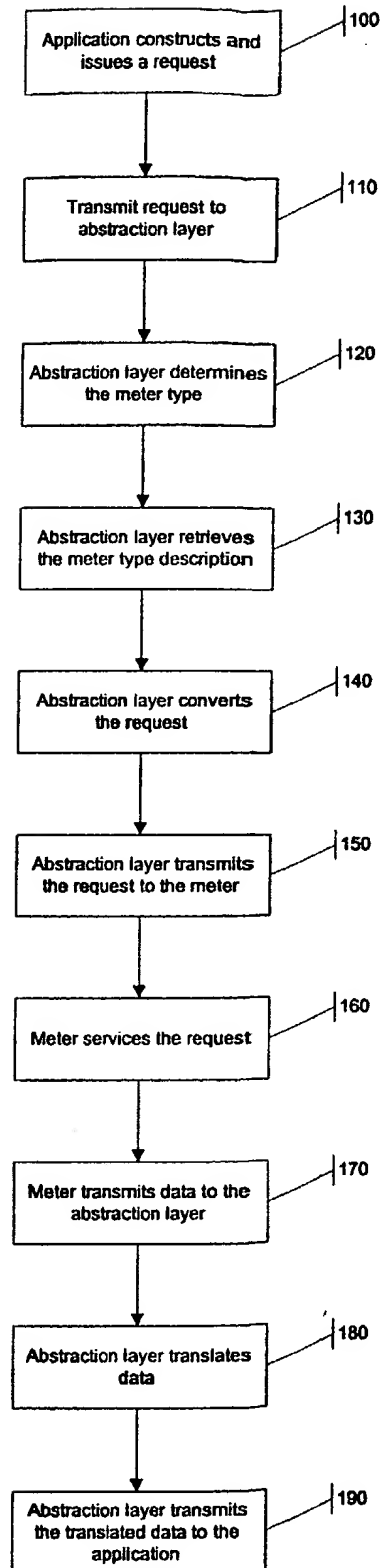


FIG. 7

4 / 4

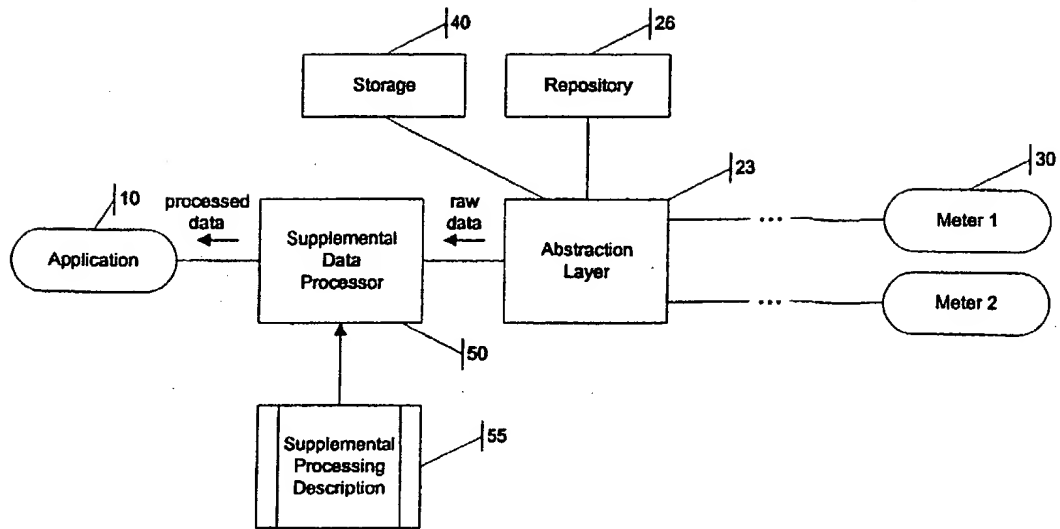


FIG. 8

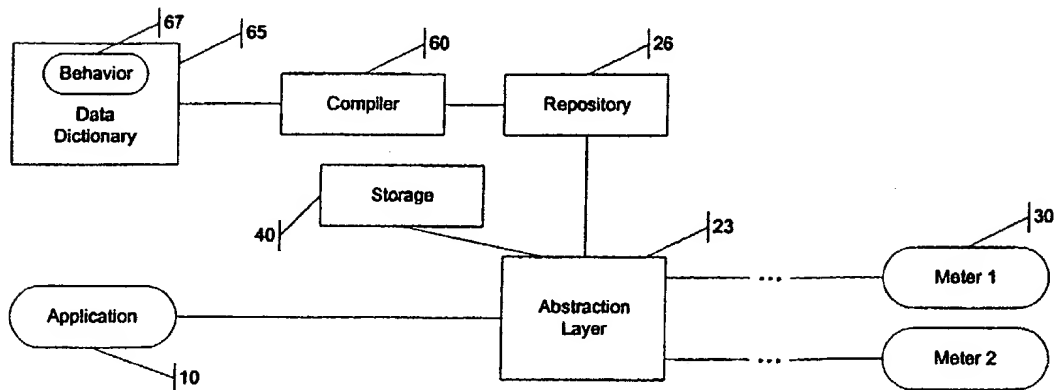


FIG. 9



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/28799

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : H02J 1/14

US CL : 700/286

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 700/286; 709/301; 710/62, 63, 64

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,485,439 A (ROTHSTEIN) 27 NOVEMBER 1984 (27.11.84), col. 4, line 30 - col. 5, line 20, Fig. 1.	1
X	US 5,214,761 A (BARRETT et al.) 25 MAY 1993 (25.05.93), abstract	1
X	US 5,768,148 A (MURPHY et al.) 16 JUNE 1998 (16.06.98), col. 25, lines 51-67; col. 26, lines 36-65.	1



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

09 FEBRUARY 2000

Date of mailing of the international search report

24 FEB 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

PAUL RODRIGUEZ

Telephone No. (703) 305-7399